



Practical Certification Approach for Smaller Companies

Inna Knelsen, ISCC System GmbH

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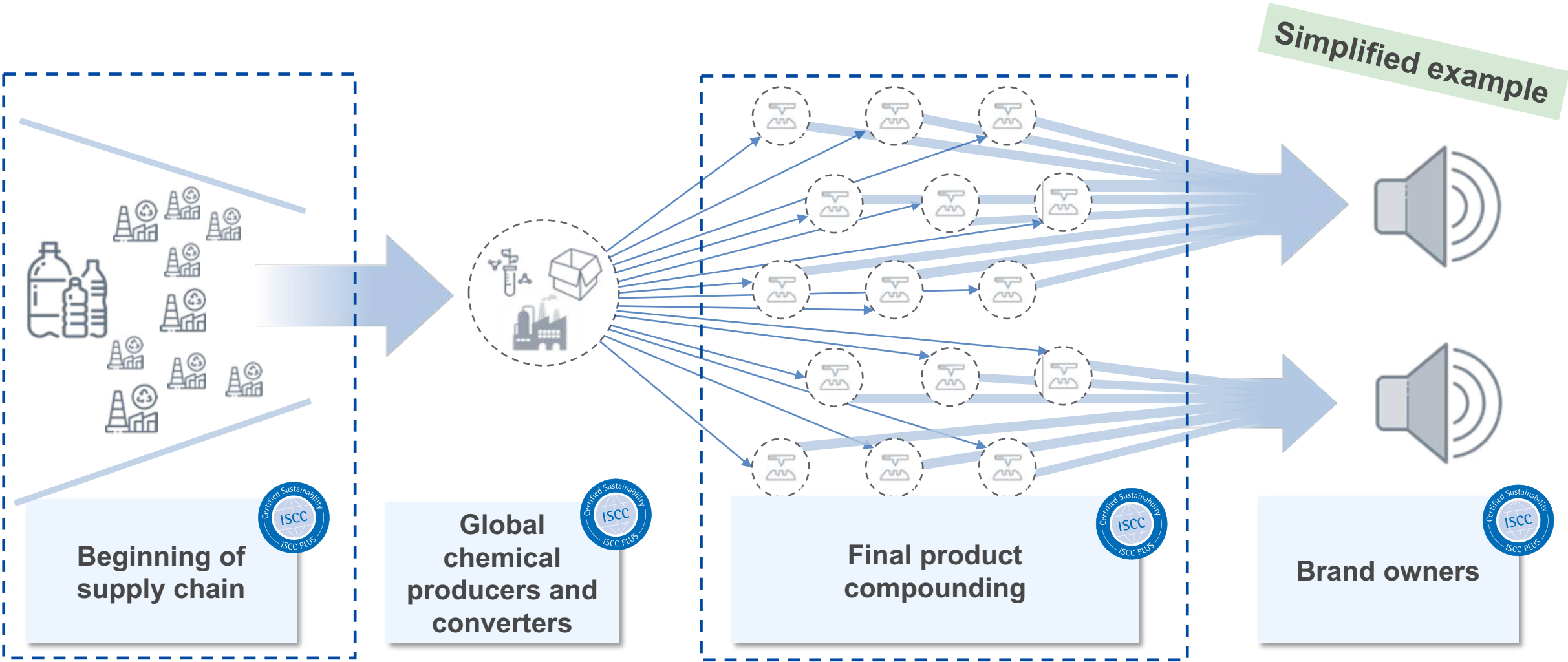
Stakeholder Feedback: Current Market Challenges

- **Beginning of supply chain:**
 - Start-up companies with similar processes, inputs and outputs (e.g. pyrolysis oil) in pilot/experimenting phase
 - Production of low amounts of certified output
 - “Market survival“ unclear
 - Individual certification not feasible
- **Entities downstream of final converter:**
 - Segmented supply chains with many activities involved, e.g.
 - Assembling, compounding, laminating, printing
 - Hundreds to thousands actors receiving ISCC certified material without changing physical properties
 - Low risk but volumes still need to be controlled

HOW TO COVER WITH CERTIFICATION?



An adapted certification approach for smaller companies could help to scale-up ISCC certification for the circular economy and bioeconomy





Prerequisites for low risk small companies covered by group certification

■ Definition small entity:

- one production site
- production capacity of maximum 1000 metric tons of certified outgoing product per year

■ Audit approach:

- **< 100 t:** self-declaration to group head; no sample based audit required (however, if deemed necessary, auditor would still have the right to audit)
- **100 – 1000 t:** self-declaration and potentially part of sample (acc. to ISCC 204 and 206)
- **> 1000 t: individual certification** → not a small entity anymore

■ The head of the group is certified and **responsible** for the integrity of ISCC certified product through its Internal Control System

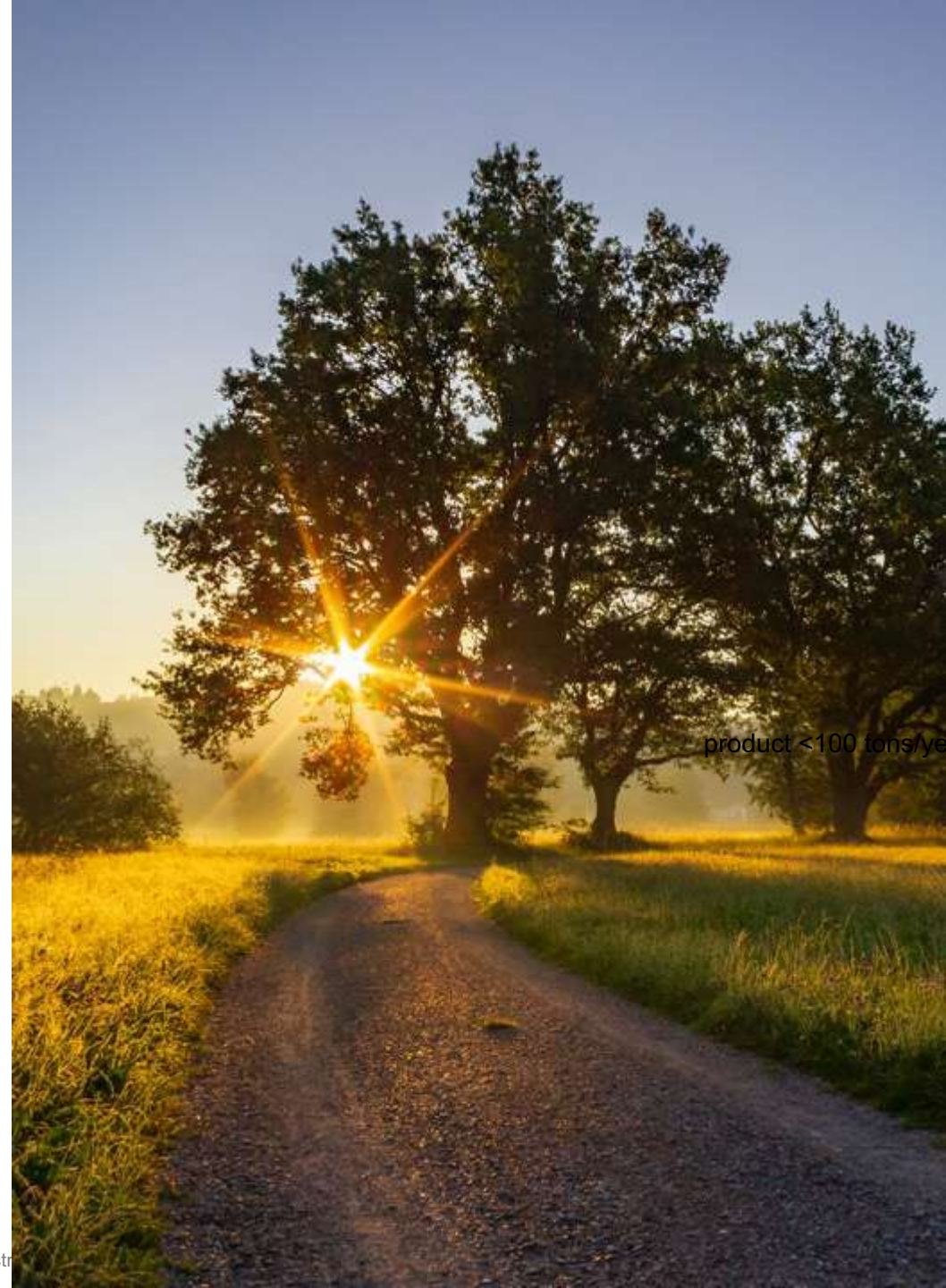
■ **Flexibility** for head of group to add further small entities throughout validity period of certificate

Important aspects for a group certification audit at the group head for small units

- **List of all suppliers of sustainable material** (incl. individually certified suppliers and small units supplying/receiving sustainable material under a self-declaration)
- **Self-declarations** forms signed by small units
- **Risk assessment and internal audits** conducted by group head at small units
- Chain of custody audit:
 - **Plausibility check** of sustainable material received and sold
 - **Mass balance**
 - **Sustainability declarations/** delivery documents
- **For upstream PU specifically:**
 - Incoming material is a waste (acc. to ISCC 201-1; national classification, official waste code, waste transfer notes)
 - Relevant licences to operate (e.g. collecting and handling waste materials)
 - List of suppliers of plastic waste

Way forward

- Practicability to be tested in pilot with interested companies and supply chains?
- Discuss feedback and learnings
- Integration into ISCC Documents (System Document, audit procedures, self-declaration for group members)



product <100 tons/ye



Many Thanks and Happy Holidays!

ISCC Technical Stakeholder Meeting „Circular Economy and Bioeconomy“
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